



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/943,579 | 08/30/2001 | Vladimir Pavlovic | 0918.2044-000 |

CONFIRMATION NO. 9433

FORMALITIES LETTER



OC00000006866876

Mary Lou Wakimura, Esq.
HAMILTON, BROOK, SMITH & REYNOLDS, P.C.,
Two Militia Drive
Lexington, MA 02421-4799

Date Mailed: 10/09/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 840.**

*A copy of this notice **MUST** be returned with the reply.*

MP

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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:101
:105740.00 OP
130.00 OP

sector 4



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Vladimir Pavlovic, Simon Kasif and Ashutosh Garg
Application No.: 09/943,579 Group: 1642
Filed: August 30, 2001 Examiner: Not assigned
For: METHOD AND APPARATUS FOR COMBINING GENE PREDICTIONS
USING BAYESIAN NETWORKS

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231

on 12/10/01 Margaret A. Norcutt
Date Signature
Margaret A. Norcutt
Typed or printed name of person signing certificate

REPLY TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In reply to the Notice to File Missing Parts dated October 9, 2001, the following documents and fees are being submitted for filing in the captioned application. A copy of the Notice is attached.

- ☒ **EXECUTED DECLARATION FOR PATENT APPLICATION**
- ☐ **POWER OF ATTORNEY DOCUMENT**
 - ☐ **GRANTED BY INVENTOR(S)**
 - ☐ **GRANTED BY ASSIGNEE, including Statement under 37 C.F.R. 3.73(b)**
- ☐ **PRELIMINARY AMENDMENT**
- ☒ **FILING FEE** - with Fee Transmittal for Patent Applications in duplicate
Fee calculations based on:
 - ☒ Claims as originally filed
 - ☐ Claims upon entry of the Preliminary Amendment filed [herewith] [with the application]
- ☒ **SURCHARGE** - surcharge fee of \$130.00

03/14/01, 3/15
[] **SEQUENCE LISTING** - Filed concurrently and is attached

[] **TRANSMITTAL OF SUBSTITUTE DRAWINGS**

[] Sheets of substitute drawings consisting of Figs. 1 - [] are enclosed

[] **PETITION FOR EXTENSION OF TIME**

[] Applicant hereby petitions to extend the time to respond to the Notice to File Missing Parts dated [] for [] month(s) from [] to []
The appropriate fee of \$[] is included in the enclosed check

[] A [] month extension of time to respond to the Notice to File Missing Parts dated [] was filed on [] with payment of a \$[] fee

[] Applicant hereby petitions for an additional [] month extension of time to respond to the Notice to File Missing Parts. The appropriate fee of \$[] is included in the enclosed check

[] **REQUEST FOR CORRECTED FILING RECEIPT** - Filed concurrently and is attached

[] **STATEMENT CLAIMING SMALL ENTITY STATUS**

[] Was filed on []

[] Is enclosed herewith

(Separate transmittal letter and postcard not required)

[] In view of the small entity status of the captioned application, we hereby request a reimbursement of 50% of the filing fees in the amount of \$[] which were paid on [] to be deposited in Deposit Account No. 08-0380

The fees required for filing the indicated documents are enclosed in the form of a check in the total amount of \$870.00. Please charge any deficiency or credit any overpayment in the fees that may be due in this matter to Deposit Account No. 08-0380. A copy of this letter is enclosed for accounting purposes.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By Mary Lou Wakimura
Mary Lou Wakimura
Registration No.: 31,804
Tel.: (978) 341-0036
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Concord, Massachusetts 01742-9133

Date: 12/10/01

JAN
PATENT & TRADEMARK

**FREE TRANSMITTAL FOR
PATENT APPLICATIONS**

| | |
|------------------------|-------------------|
| Attorney Docket Number | 0918.2044-000 |
| Application Number | 09/943,579 |
| First Named Inventor | Vladimir Pavlovic |

CLAIM CALCULATION (includes any preliminary amendment)

| CLAIMS | (1) FOR | (2) NUMBER FILED | (3) NUMBER EXTRA | (4) RATE | (5) CALCULATIONS |
|--------|---|------------------|------------------|--------------------------------------|------------------|
| | TOTAL CLAIMS (37 CFR 1.16(c) or (j)) | 13 - 20* = | 0 | x \$ 18 = | \$ 0 |
| | INDEPENDENT CLAIMS (37 CFR 1.16(b) or (i)) | 2 - 3** = | 0 | x \$ 84 = | \$ 0 |
| | MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d)) | | | + \$ 280 = | \$ |
| | | | | BASIC FEE (37 CFR 1.16(a) or (h)) | \$ 740 |
| | | | | Total of above Calculations = | \$ 740 |
| | Reduction by 50% for filing by small entity (37 CFR 1.9, 1.27, 1.28) = | | | | \$ |
| | TOTAL = | | | | \$ 740 |
| | Surcharge - Late Filing of Declaration or Filing Fees (37 C.F.R. 1.16(e)) = | | | | \$ 130 |
| | Petition for Extension of Time Fee (37 C.F.R. 1.17) = | | | | \$ |
| | Assignment Recordation Fee = (only when filed with application) | | | | \$ |
| | * Reissue claims in excess of 20 and over original patent ** Reissue independent claims over original patent | | | TOTAL = | \$ 870 |

1. Small entity status:

- a. ☐ A small entity statement is enclosed.
- b. ☐ A small entity statement was filed in the prior non-provisional application and such status is still proper and desired.
- c. ☐ Is no longer claimed.

2. ☒ A general authorization is hereby granted to charge deposit account number 08-0380 for any fees required under 37 CFR 1.16 and 1.17 in order to maintain pendency of this application. A copy of this authorization is enclosed for accounting purposes.

3. ☒ A check is enclosed for \$870. ☐ Please charge \$[] to Deposit Account No. 08-0380.

4. ☐ Other: _____

| | | | |
|---------------------------------------|--------------------------|-------------|----------|
| Signature | <i>Mary Lou Wakimura</i> | Date | 12/10/01 |
| Submitted by Typed or Printed Name | Mary Lou Wakimura | Reg. Number | 31,804 |